

BH | Beech Hill Securities

CLIENT PRIVACY NOTICE

Guiding Principles

The relationship of trust between you, our client, and Beech Hill is an integral part of our business. We strive to maintain your trust and confidence, an essential aspect of which is our commitment to protect your personal information to the best of our ability. We realize that all of our clients value their privacy, therefore, we will not disclose your personal information to anyone unless it is required by law, at your direction, or in very limited instances, to service your account (such as providing account information to our clearing broker). We have not and will not sell your personal information to anyone.

The Personal Information That We Collect And Communicate

Beech Hill collects and maintains your personal information so we can provide the most effective investment services to you. The types and categories of information we collect include: information we receive from you on applications and other forms to open an account, provide investment management, or provide brokerage services (i.e. your name, home address, social security number, telephone number, and financial information); information from transactional activity in your account (such as trading history and account balances); information that we may receive from third parties with respect to your account or about your transaction history (such as trade confirmation from your clearing broker).

How We Protect Your Personal Information

To fulfill our privacy commitment Beech Hill has instituted firm-wide practices to safeguard the information we maintain about you. These include: adopting policies and procedures that put in place physical and electronic safeguards, and limiting access to personal information, as well as limited access to personal information only to those employees who need it to perform their job function. Beech Hill requires that any third party performing services for us agree to keep your information strictly confidential.